

Audit Office Gifts, Benefits and Hospitality Register 2019/20

#	Date of offer or receipt of gift	Position of the recipient	Business unit of the recipient	Prior approval* from	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
1	29.07.2019	Assistant Auditor-General Financial Audit	Financial Audit	Not required	State Audit Office of Vietnam	Presenter and overseas delegation	Pure silk tie	\$50	Accepted	Donated to the ReClub
2	29.07.2019	Director Office of the Auditor- General	AG's Unit	Not required	State Audit Office of Vietnam	Presenter and overseas delegation	Pure silk tie	\$50	Accepted	Accepted
3	29.07.2019	Assistant Auditor-General Performance Audit	Performance Audit	Not required	State Audit Office of Vietnam	Presenter and overseas delegation	Pure silk scarf	\$50	Accepted	Accepted
4	29.07.2019	Executive Director, Quality and Innovation	Quality and Innovation	Not required	State Audit Office of Vietnam	Presenter and overseas delegation	Pure silk tie	\$50	Accepted	Accepted
5	31.07.2019	Chief Financial Officer	Finance	Auditor- General	CPA Australia	Several Audit Office staff are members of CPA Australia	CPA Australia Public Sector Leader two course lunch	\$40	Accepted	Accepted
6	26.09.2019	Assistant Auditor-General Financial Audit	Financial Audit	Deputy Auditor-General	Macquarie Business School	Macquarie University is Audit Office auditee	Drinks and canapes at Annual Leaders in Business event at Parliament	\$50	Accepted	Accepted
7	05.09.2019	Auditor-General	AG's Unit	Not required	MinterEllison	Professional organisation	Women in government dinner - Networking function to promote women in leadership	\$50	Declined	Declined
8	15-18.9.2019	Deputy Auditor-General	AG's Unit	Not required	Wolters Kluwer TeamMate	Wolters Kluwer provide the Audit Office with software under licence	Invitation to register for TeamMate User Forum 2019 and to receive \$50 Amazon gift card	\$50	Declined	Declined
9	24.10.2019	Auditor-General	AG's Unit	Not required	Boston Consulting Group	Professional organisation	Invitation to Celebrating Women's Success dinner to be held on 24 October 2019, to support women by providing access to opportunities and business networks	\$50	Declined	Declined
10	17.09.2019	A/Executive Manager, Governance	Governance	Director, Office of the Auditor- General	iCare	iCare is Audit Office auditee	Invitation to attend Risk Education eXpress Conference 2019	Unknown	Accepted	Accepted
11	11.07.2019	Auditor-General	AG's Unit	Not required	Ernst & Young	Ernst & Young is an Audit Service Provider to the Audit Office	Invitation to seminar 'Big data, big ideas'	Unknown	Declined	Declined
12	11.07.2019	Auditor-General	AG's Unit	Not applicable	Public Service Commission	Public Service Commission is Audit Office auditee	Invitation to networking executive breakfast	Less than \$100	Accepted	Accepted
13	05.09.2019	Auditor-General	AG's Unit	Not required	CEDA	Professional organisation	Invitation to seminar 'Priorities to lead sustainable higher education policy outcomes'	Unknown	Declined	Declined
14	12.09.2019	Auditor-General	AG's Unit	Not required	CEDA	Professional organisation	Invitation to seminar 'Vice- Chancellor's panel'	Unknown	Declined	Declined

#	Date of offer or receipt of gift	Position of the recipient	Business unit of the recipient	Prior approval*	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
15	02.10.2019	Auditor-General	AG's Unit	Not required	Information and Privacy Commission	Information and Privacy Commission is Audit Office auditee	Invitation to 'Senior Public Sector Leaders' Symposium'	Unknown	Declined	Declined
16	08.10.2019	Auditor-General	AG's Unit	Not applicable	State Super (SAS Trustee Corporation)	SAS Trustee Corporation is Audit Office auditee	Invitation to State Super Cocktail reception	\$40	Accepted	Accepted
17	15.10.2019	Auditor-General	AG's Unit	Not required	CEDA	Professional organisation	Invitation to 'Diversity, inclusion and bilateral relationships' seminar	Unknown	Declined	Declined
18	17.10.2019	Senior Performance Auditor	Performance Audit	Not required	Cumberland Council	Cumberland Council is Audit Office auditee	Invitation to lunch to the audit team	\$25	Declined	Declined
19	17.-18.10.2019	Senior Performance Auditor	Performance Audit	Not required	Georges River Council	Georges River Council is Audit Office auditee	Invitation to lunch during a fieldwork visit	\$25	Declined	Declined
20	29.10.2019	Chief Information Officer	Technology Services	Deputy Auditor-General	Cloudera	Cloudear is US- based software company that provides a software platform for data engineering, data warehousing, machine learning and analytics.	Networking event with dinner provided during the conference at the Gold Coast	\$100 +	Accepted	Accepted
21	29.10.2019	Auditor-General	AG's Unit	Not required	MinterEllison	Professional organisation	Invitation to 'Public Sector Seminar Series 2019'	Unknown	Declined	Declined
22	01.11.2019	Auditor-General	AG's Unit	Not required	CEDA	Professional organisation	Invitation to 'Developing technology for public good' seminar	Unknown	Declined	Declined
23	03.11.2019	Audit Leader	Financial Audit	Not required	Sydney Cricket & Sports Ground Trust	Sydney Cricket & Sports Ground Trust is Audit Office auditee	Invitation to attend a cricket match at SCG for 2 people	\$50	Declined	Declined
24	06.11.2019	Director Financial Audit	Financial Audit	Not required	Ernst & Young	Ernst & Young is an Audit Service Provider to the Audit Office	Offer of ticket to the Sydney Dance Company	\$100	Declined	Declined
25	06.11.2019	Audit Leader	Financial Audit	Not required	Ernst & Young	Ernst & Young is an Audit Service Provider to the Audit Office	Offer of ticket to the Sydney Dance Company	\$100	Declined	Declined
26	06.11.2019	Auditor-General	AG's Unit	Not required	Public Service Commission	Public Service Commission is Audit Office auditee	Invitation to 'Delivery for Citizens' Conference	Unknown	Declined	Declined
27	12.11.2019	Auditor-General	AG's Unit	Not required	NOUS	Professional organisation (Management consulting firm)	Invitation to 'Beyond 2020 - The next steps in smart regulation' seminar	Unknown	Declined	Declined
28	13.11.2019	Auditor-General	AG's Unit	Not required	Crown Solicitor's Office	Crown Solicitor's Office is Audit Office auditee	Invitation to 'Client Seminar 2019'	Unknown	Declined	Declined

#	Date of offer or receipt of gift	Position of the recipient	Business unit of the recipient	Prior approval* from	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
29	18.11.2019	Assistant Auditor-General Financial Audit	Financial Audit	Not required	The Institute of Internal Auditors Australia	Professional organisation	Book titled Trusted Advisors by Richard F. Chambers	\$50	Accepted	Donated to the Office
30	19.11.2019	Auditor-General	AG's Unit	Not applicable	MinterEllison	Professional organisation	Women in Government dinner with Elizabeth Koff	Less than \$100	Accepted	Accepted
31	21.11.2019	Auditor-General	AG's Unit	Not required	The Lord Mayor of Sydney	City of Sydney Council is Audit Office auditee	Invitation to Christmas reception from the Lord Mayor of Sydney Clover Moore	Unknown	Declined	Declined
32	21.11.2019	Executive Director, Professional Services	Professional Services	Not required	NSW Chapter Council of IIA Australia	Professional organisation	Invitation to Christmas Function for the NSW Chapter Council of IIA Australia and December Members' Meeting	Unknown	Declined	Declined
33	21.11.2019	Executive Director, Professional Services	Professional Services	Not required	Cranlana Centre for Ethical Leadership	Professional organisation	Invitation to Christmas party for Cranlana Centre for Ethical Leadership	Unknown	Declined	Declined
34	21.11.2019	Innovation Lead/Business Support Manager	Communications and Business Support	Not required	Darling Park/JLL	JLL is the building manager of the Audit Office's premises at Darling Park	Invitation to the annual Christmas party as a tenant of Darling Park	\$100 +	Declined	Declined
35	21.11.2019	Executive Manager, Communications and Business Support	Communications and Business Support	Not required	Darling Park/JLL	JLL is the building manager of the Audit Office's premises at Darling Park	Invitation to the annual Christmas party as a tenant of Darling Park	\$100 +	Declined	Declined
36	21.11.2019	Executive Director, Professional Services	Professional Services	Not required	Darling Park/JLL	JLL is the building manager of the Audit Office's premises at Darling Park	Invitation to the annual Christmas party as a tenant of Darling Park	\$100 +	Declined	Declined
37	26.11.2019	Director Financial Audit	Financial Audit	Not required	CPA Australia	Community of Finance Professionals is a voluntary organisation. Several Audit Office staff are members of CPA Australia.	Cyber Security Public Sector Leaders Series Lunch - Community of Finance Professionals, sponsored by CPA Australia	\$25	Accepted	Accepted
38	27.11.2019	Auditor-General	AG's Unit	Not required	First State Super	Professional organisation	Invitation to End of Year Celebration	\$40	Declined	Declined
39	29.11.2019	Deputy Auditor-General	AG's Unit	Not required	KPMG	KPMG is an Audit Service Provider to the Audit Office	Invitation to KPMG AASB 16 Post-Implementation Seminar NSW	Unknown	Declined	Declined
40	10.02.2020	Deputy Auditor-General	AG's Unit	Not required	Ernst & Young	Ernst & Young is an Audit Service Provider to the Audit Office	Sydney Financial Forum	N/A	Declined	Declined
41	21.02.2020	Chief Information Officer	Technology Services	Not required	Darling Park/JLL	JLL is the building manager of the Audit Office's premises at Darling Park	Bottle of Moet	\$55	Accepted	Accepted

#	Date of offer or receipt of gift	Position of the recipient	Business unit of the recipient	Prior approval*	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
42	28.05.2020	Chief Information Officer	Technology Services	Not required	FST Gov	None - have previously attended an FSTGov event.	Complementary registration to FSTGov New South Wales Conference 2020	\$295 (early bird rate)	Declined	Declined
43	28.05.2020	Executive Director, Professional Services	Professional Services	Not required	FST Gov	None - have previously attended an FSTGov event.	Complementary registration to FSTGov New South Wales Conference 2020	\$295 (early bird rate)	Declined	Declined
44	02.04.2020	Executive Manager Governance	Professional Services	Not required	Risk Management Institute of Australia, Protecht and Risk Spotlight	Professional organisations	Free 1.5hr webinar	Free event. Estimated value less than \$100	Accepted	Accepted
45	06.05.2020	Executive Manager Governance	Professional Services	Not required	MinterEllison	Professional organisation	Series of four half hour webinars on public law in a COVID-19 world. The first session on 21 May 2020.	Free event. Estimated value less than \$100	Accepted	Accepted
46	15.04.2020	Audit Leader	Performance Audit	Not required	Bendelta	Professional organisation	Webinar - Leading in Times of Crisis Episode 2 - Staying Connected	Free event. Estimated value less than \$100	Accepted	Accepted
47	15.04.2020	Director	Financial Audit	Not required	Bendelta	Professional organisation	Webinar - Leading in Times of Crisis Episode 2 - Staying Connected	Free event. Estimated value less than \$100	Accepted	Accepted
48	15.04.2020	Director	Financial Audit	Not required	Bendelta	Professional organisation	Webinar - Leading in Times of Crisis Episode 2 - Staying Connected	Free event. Estimated value less than \$100	Accepted	Accepted
49	14.05.2020	Executive Manager Governance	Professional Services	Not required	KPMG	KPMG is an Audit Service Provider to the Audit Office	Webinar - Our New Reality - Predictions after COVID- 19	Free event. Estimated value less than \$100	Accepted	Accepted
50	16.06.2020	Deputy Auditor-General	AG's Unit	Not required	KPMG	KPMG is an Audit Service Provider to the Audit Office	Accounting and Reporting Webinar: New Australian Financial Reporting Framework takes shape	Free event. Estimated value less than \$100	Declined	Declined
51	02.06.2020	Chief Information Officer	Technology Services	Not required	Tessian	Tessian is a vendor for the Audit Office	\$40 voucher for Dan Murphy's for CIOs of Tessian's Australian customers	\$40	Declined	Declined
52	02.07.2020	Deputy Auditor-General	AG's Unit	Not required	FST Gov	Professional organisation	Webinar: Optimizing Speed and Security for Public Service Delivery Initiatives	Free event. Estimated value less than \$100	Declined	Declined
53	07.07.2020	Executive Manager Governance; Governance Officer	Professional Services	Not required	Corruption Prevention Network NSW	Professional organisation	Webinar: Proposed revisions to the Australian Standard on Fraud and Corruption Control (AS 8001-2008)	Free event. Estimated value less than \$100	Accepted	Accepted
54	12.03.2020	Auditor-General	AG's Unit	Not required	Bendelta	Professional organisation	Networking breakfast	\$50	Declined	Declined

*as per the policy, it is not possible in all circumstances to obtain prior approval.