

Audit Office Gifts, Benefits and Hospitality Register 2018-19

#	Date of offer or receipt of gift	Position of the recipient	Prior approval from*	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
1	11.07.2018	Director, Financial Audit	DAG	Ernst & Young	Auditee	Technical accounting books provided free of charge to all Ernst & Young clients	\$100	Accepted	Retained for personal use
2	31.07.2018	Deputy Auditor-General	Not required	Institute of Internal Auditors	Professional association	Bottle of wine	Under \$25	Declined	Declined
3	7.08.2018	Director, Financial Audit	AAG/EDCS	Catholic Cemeteries Trust	Auditee	A book "Celebrating 150 years of Rookwood Catholic Cemetery	\$30-50	Accepted	Donated to the Audit Office
4	29.08.2018	Assistant Auditor-General	Not required	Ernst & Young	Audit service provider	Invitation to attend a function	Unknown	Declined	Declined
5	15.08.2018	Analyst	Not required	Department of Primary Industry	Auditee	2 x Drinking mugs; 2 x bandanas; light lunch	\$10	Accepted	Retained for personal use
6	13.09.2018	Deputy Auditor-General	Not required	Ernst & Young	Audit service provider	Breakfast	Under \$25	Accepted	Accepted
7	13.09.2018	Executive Director, Quality and Innovation	Not required	Ernst & Young	Audit service provider	Breakfast	Under \$25	Accepted	Accepted
8	18.09.2018	Chief Information Officer	Not required	FireEye	Potential contractor	Lunch	\$100	Declined	Declined
9	19.11.2018	Executive Director, Quality and Innovation	Not required	Gartner	Potential supplier	Forum attendance	\$3,700	Declined	Declined
10	5.12.2018	Governance Manager	Not required	Institute of Internal Auditors	Professional association	2 books. Trusted advisors (1 copy) and Raise the Red Flag (1 copy)	\$100	Accepted	Donated to the Audit Office
11	5.12.2018	Head of Financial Audit	Not required	Institute of Internal Auditors	Professional association	2 books. Trusted advisors (1 copy) and Raise the Red Flag (1 copy)	\$100	Accepted	Donated to the Audit Office
12	13.12.2018	Assistant Auditor-General	Not required	Macquarie University	Auditee	Balinese Scarf	\$10	Accepted	Accepted
13	18.01.2019	Auditor-General	Not required	Department of Premier and Cabinet	Auditee	Attendance at the Australia Day Council of NSW - Australia Day Lunch	\$100	Accepted	Accepted
14	11.02.2019	Chief Financial Officer	Not required	Chartered Accountants AUS/NZ	Professional association	Attendance at the Premier's NSW Harmony Dinner as a guest of Chartered Accountants Aus/NZ	\$175	Declined	Declined
15	7.03.2019	Auditor-General	No prior approval	Ernst & Young	Audit service provider	Reference books - Global edition 14 - International GAP 2019	\$100	Accepted	Accepted
16	8.03.2019	Business Support Manager	Not required	Objective Corporation Limited	Potential vendor	Lunch	\$30	Declined	Declined
17	14.03.2019	Deputy Auditor-General	Not required	Ernst & Young	Audit service provider	Cocktail reception	\$100 estimated	Declined	Declined
18	12.03.2019	Auditor-General	No prior approval	Sydney Financial forum	NFP organisation	Book	\$40	Accepted	Donated to the Audit Office

#	Date of offer or receipt of gift	Position of the recipient	Prior approval from*	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Estimated accumulated value of gift \$	Accepted or declined	Treatment of the gift/benefit/hospitality
19	19.03.2019	Auditor-General	No prior approval	MinterEllison	Professional association	Dinner	\$80	Accepted	Accepted
20	3.04.2019	Deputy Auditor-General	Not required	PricewaterhouseCoopers	Audit service provider	Federal Budget Insights event followed by drinks and canapes	\$100 estimated	Declined	Declined
21	Mar-19	Deputy Auditor-General	Not required	Ernst and Young	Audit service provider	Coffee	\$5	Declined	Declined
22	29.05.2019	Executive Director, Quality and Innovation	Not required	FST Government	Professional association	Complimentary ticket to attend FST Government New South Wales 2019	\$495	Declined	Declined
23	06-07.05.2019	Senior Auditor	Not required	Hunter New England Local Health District - Tamworth Hospital	Auditee	A platter of sandwiches	\$12	Accepted	Accepted
24	5.06.2019	Records Officer	AAG/ED CS	Workspace Dexus (building management)	Workspace Dexus - former building management	Speaker	\$80	Accepted	Accepted
25	5.06.2019	Director Office of the Auditor- General	Not required	The Board of Audit and Inspection of the Republic of Korea	International association	Two sets of display spoons and chopsticks	\$30 each	Accepted	One set accepted and one set donated to the ReClub
26	28.06.2019	Executive Director, Quality and Innovation	Not required	Korean Institute of Human Resources and Development	International association	Card holder and compact mirror	\$50	Accepted	Donated to the ReClub

*as per the policy, it is not possible in all circumstances to obtain prior approval.