

Audit Office Gifts, Benefits and Hospitality Register 2021-22

#	Date of offer or receipt of gift	Position of the recipient *	Business unit of the recipient	Prior approval ** from	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Description of the context in which the gift, benefit or hospitality was offered and/or received	Estimated accumulated value of gift \$ ***	Accepted or declined	Treatment of the gift/benefit/hospitality	Reasons for the decision
1	24/09/2021	Audit Leader	Performance audit branch	N/A	Governance Institute of Australia	Professional association	Complimentary ticket to the GIA's full day 'Public Sector Governance Forum', priced for other ticket holders at \$435	Recipient has a professional networking connection with the GIA's NSW State Branch Manager. GIA is interested in the work of the AO, especially on contemporary governance risks and issues such as cyber and climate change.	\$435	Declined	Declined	To maintain impartiality and independence
2	20/10/2021	Deputy Auditor-General	AG's unit	N/A	KPMG	Audit service provider	Free webinar - KPMG's six monthly update on reporting matters, focusing on preparing for interim and annual financial reporting at 31 December 2021	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence
3	22/10/2021	Deputy Auditor-General	AG's unit	N/A	Derwent Search	Supplier / Service provider	Invitation to a virtual professional development webinar on 'pathways to non-executive board positions'	Derwent Search are occasionally engaged by the AO for executive search and selection services	Less than \$100	Declined	Declined	To maintain impartiality and independence
4	22/10/2021	Assistant Auditor-General	Performance audit branch	Deputy Auditor-General	Derwent Search	Supplier / Service provider	Invitation to a virtual professional development webinar on 'pathways to non-executive board positions'	Derwent Search are occasionally engaged by the AO for executive search and selection services	Less than \$100	Accepted	Attended	Professional development
5	26/10/2021	Executive Manager	Governance	Executive Director, Professional Services	AON	Professional association	Invitation to a 30min webinar on the newly released ISO 45003: Guidance for reducing mental health risk at work	Email invitation	Less than \$100	Accepted	Attended	Professional development
6	10/11/2021	Innovation Lead	Quality and Innovation	Executive Director, Professional Services	University of Sydney	Auditee	Free invitation to attend the International Symposium on Project Leadership in a Changing World, 10 November 2021	Email invitation	Less than \$100	Accepted	Attended	Professional development
7	18/11/2021	Auditor-General	AG's unit	N/A	Unknown	Member of the public	Christmas card with foreign currency enclosed, amounting to AUD\$4.40	Unsolicited Christmas card from an unknown member of the public	\$4.40	Accepted	Retained by the office	Unable to return to sender
8	24/11/2021	Chief Financial Officer	Finance	N/A	CMD Solutions	Supplier / Service provider	Invitation to CMD (cloud migration and managed service provider) Christmas party - harbour cruise	Invitation received from the vendor	Over \$100	Declined	Declined	To maintain impartiality and independence
9	1/12/2021	Communications Manager	Communications and business support	Executive Manager, CBS	Darling Park	Leasor/Leasee relationship	\$60 styled hair cut voucher from Evoke Salon	Entered Darling Park Christmas giveaways comp and won Day 2. Gift was received by confirmation email.	\$60	Accepted	Retained for personal use	Below the maximum threshold
10	7/12/2021	Deputy Auditor-General	AG's unit	N/A	Texale	No existing relationship	Invitation to a free 3-course lunch at The Royal Exchange	Invitation received as part of an 'interactive roundtable' hosted by Texale to demonstrate how it builds accessibility in its digital products for employees with disability	Over \$100	Declined	Declined	To maintain impartiality and independence
11	9/12/2021	Audit Leader	Performance audit branch	N/A	KPMG	Former employee of the Audit Office (formerly Director, Performance Audit Branch), and friend of the recipient	Home made Christmas cake	Christmas gift	\$25	Accepted	Retained for personal use	Below the maximum threshold
12	3/12/2021	Innovation Lead	Quality and Innovation	N/A	Pactera	Potential supplier	Bottle of wine, candle, chocolates and nougat from a potential supplier	Posted to the Audit Office head office as well wishes for Christmas	\$75	Declined	Declined	To maintain impartiality and independence
13	16/03/2022	Executive Director	Professional services branch	Deputy Auditor-General	Norton Rose Fulbright	Professional association	Free webinar - by Norton Rose Fulbright on data breaches and privacy as part of a package of training co-ordinated by Icare Risk Education eXpress	Generic invitation to mailing list recipients	Less than \$100	Accepted	Attended	Professional development
14	3/03/2022	Deputy Auditor-General	AG's unit	N/A	PwC Australia	Audit service provider	Email invitation to a Federal Budget Insights virtual event, which will bring together Federal and State government experts, business leaders and critical thinkers to discuss the social, economic and political impact of the Federal Budget.	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence
15	16/02/2022	Deputy Auditor-General	AG's unit	N/A	PwC Australia	Audit service provider	Free webinar - PwC's Future of Work conversation series - in conversation with Andrea Clarke, Founder of FutureFit Digital Learning	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence

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16	3/02/2022	Deputy Auditor-General	AG's unit	N/A	PwC Australia	Audit service provider	Free webinar - PwC's Leadership in the Future of Work - What does leadership in the future of work look like?	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence
17	30/03/2022	Deputy Auditor-General	AG's unit	Auditor-General	Bendelta	Supplier / Service provider	Free seminar - 2.5 hours scheduled 30 March 2022 - virtual event on organisational culture – insights from the leading edge	Targeted email invitation to leaders	Less than \$100	Accepted	Attended	Professional development
18	8/03/2022	Deputy Auditor-General	AG's unit	N/A	Deloitte Australia	Audit service provider	Free webinar - Deloitte Federal Budget webinar	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence
19	31/03/2022	Deputy Auditor-General	AG's unit	N/A	Ernst & Young	Audit service provider	Networking event - invitation to attend an exclusive preview of the Archibald prize at the Art Gallery of NSW (art exhibition) and cocktail celebration event with leaders from Sydney's business community	Email invitation from the EY events team	Over \$100	Declined	Declined	To maintain impartiality and independence
20	25/03/2022	Deputy Auditor-General	AG's unit	N/A	NSW Treasury Secretary	Audit service provider	Offer of coffee	Coffee provided during a meeting	\$5	Accepted	Consumed	Low risk hospitality
21	4/04/2022	Deputy Auditor-General	AG's unit	N/A	KPMG	Audit service provider	Free webinar - Public Sector Finance & Reporting 2022	Generic invitation to mailing list recipients	Less than \$100	Declined	Declined	To maintain impartiality and independence
22	10/05/2022	Senior Communications Manager	Communications and business support	No	City of Sydney	Other	Invitation to the book launch of 'Creating a Liveable City' and a copy of the book, in relation to contributions made on the book as part of prior employment.	Invitation received via email and book received on leaving the event	Less than \$100	Accepted	Attended the event and accepted the book	Gift of gratitude/below the maximum threshold
23	7/06/2022	Communications Manager	Communications and business support	N/A	Just After Midnight	Supplier / Service provider	Invitation to a networking event	Email invitation from Just After Midnight (who provide website maintenance services to Audit Office) for lunch and drinks at Bungalow 8	Over \$100	Declined	Declined	To maintain impartiality and independence
24	7/06/2022	Executive Director	Corporate services branch	N/A	Just After Midnight	Supplier / Service provider	Invitation to a networking event	Email invitation from Just After Midnight (who provide website maintenance services to Audit Office) for lunch and drinks at Bungalow 8	Over \$100	Declined	Declined	To maintain impartiality and independence
25	7/06/2022	Executive Manager	Communications and business support	N/A	Just After Midnight	Supplier / Service provider	Invitation to a networking event	Email invitation from Just After Midnight (who provide website maintenance services to Audit Office) for lunch and drinks at Bungalow 8	Over \$100	Declined	Declined	To maintain impartiality and independence
26	7/06/2022	Senior Communications Manager	Communications and business support	N/A	Just After Midnight	Supplier / Service provider	Invitation to a networking event	Email invitation from Just After Midnight (who provide website maintenance services to Audit Office) for lunch and drinks at Bungalow 8	Over \$100	Declined	Declined	To maintain impartiality and independence
27	13/05/2022	Auditor-General	AG's unit	N/A	Palm Executive Learning Group	Professional association	Bottle of champagne	Offered in gratitude for presenting at an event	Less than \$100	Accepted	Donated to the ReClub	A gift of gratitude for presenting
28	20/06/2022	Audit Leader	Financial audit branch	N/A	Woollahra Municipal Council	Auditee	Offer of lunch at Red Leaf restaurant	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence

Notes:

* The role title of recipients are as at the date of the declaration. If changes in role title occur after the declaration date, these are not back dated.

** As per the Audit Office's Gifts, Benefits and Hospitality Policy, it is not possible in all circumstances to obtain prior approval.

*** As per the Audit Office's Gifts, Benefits and Hospitality Policy, only gifts, benefits and hospitality with a value above \$25 are required to be declared. Any other declarations are at staff discretion.