

Audit Office Gifts, Benefits and Hospitality Register 2023-24

#	Date of offer or receipt of gift	Position of the recipient *	Business unit of the recipient	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Description of the context in which the gift, benefit or hospitality was offered and/or received	Estimated accumulated value of gift \$ ***	Treatment of the gift/benefit/hospitality	Reasons for the decision
1	4/07/2023	Audit Leader	Performance Audit	National Audit Office, Finland	Presenter and overseas delegation	'Thank you' card and a small cotton purse	Token of appreciation for coordinating the delivery of the Regional Working Group of Environmental Auditors meeting	Less than \$100	Retained for personal use	Gift of gratitude/ Below maximum the threshold
2	5/07/2023	Deputy Auditor-General	AG's unit	Commvault	Professional association	Invitation to online event about data protection	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
3	6/07/2023	Deputy Auditor-General	AG's unit	Commvault	Professional association	Invitation to online event on disaster recovery including lunch	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
4	12/07/2023	Executive Director	Corporate, Experience and Strategy	GPT	Supplier / Service provider	Offer of lunch to meet the new building management team for the Darling Park precinct	Offered to all tenants of Darling Park	Less than \$100	Declined	To maintain impartiality and independence
5	13/07/2023	Executive Director	Corporate, Experience and Strategy	Gartner	Supplier / Service provider	AI in Government presentation event, light breakfast provided prior to presentation	Email invitation	Less than \$100	Attended	Professional development
6	29/07/2023	Senior Auditor	Performance Audit	Willoughby Council	Former employer	Free ticket to attend the Willoughby Symphony	Personal relationship with the giver	Less than \$100	Attended	Below the maximum threshold. Is not involved with the council audit.
7	31/07/2023	Executive Director	Finance and Performance	Prosperity Audit Advisers Pty Ltd	Audit service provider	Invitation to attend Prosperity's Race Day at Royal Randwick Racecourse on Saturday 2 September	Email invitation	Over \$100	Declined	To maintain impartiality and independence
8	9/08/2023	Deputy Auditor-General	AG's unit	RSM Australia	Supplier / Service provider	Invitation to economic update webinar	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
9	10/08/2023	Deputy Auditor-General	AG's unit	Sydney Financial Forum	Professional association	Invitation to Sydney Financial Forum	Email invitation	Over \$100	Declined	To maintain impartiality and independence
10	13/08/2023	Executive Director	Professional Services	N/A	Other	Complimentary copy of a book authored by the giver	Provided following a conversation about NSW history	Less than \$100	Donated to the Audit Office / Shared with team	Below the maximum threshold
11	15/08/2023	Acting Deputy Auditor-General	Corporate, Experience and Strategy	Gartner	Supplier / Service provider	Offer of complimentary Gartner conference ticket for 2024 in return for participation in conference evaluation 'attendee journey' panel discussion	Invited to participate in a panel discussion to provide feedback to conference vendors as a previous attendee at the conference	Over \$100	Declined	To maintain impartiality and independence
12	2/11/2023	Executive Director	Professional Services	RSM Australia	Supplier / Service provider	Free lunchtime webinar - Fraud and Corruption Control: Hindsight, Insight and Foresight - that forms part of the company's activities in support of International Fraud Awareness Week	Verbal invitation followed by an email drawing attention to the seminar	Less than \$100	Declined	To maintain impartiality and independence
13	2/11/2023	Records Manager	Operations and Engagement	AvePoint (Records management system vendor)	Supplier / Service provider	Gift bag containing a backpack, a pair of socks, adapter, bottle opener	Token gifts given to all attendees of the event	Less than \$100	Donated to the ReClub	Provided to all attendees at conclusion of the event
14	10/11/2023	Auditor-General	AG's unit	City of Sydney Council	Auditee	Invitation to The Lord Mayor of Sydney Clover Moore Christmas reception on 29 November 2023	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
15	25/11/2023	Auditor-General	AG's unit	Business Sydney	Professional association	Invitation to Christmas Drinks Reception with the Hon Daniel Mookhey MLC	Email invitation	Over \$100	Declined	To maintain impartiality and independence

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16	9/02/2024	Auditor-General	AG's unit	Treasury	Auditee	Flowers	Given as a gift of gratitude for being a speaker at the event	Less than \$100	Retained for personal use	Gift of gratitude/ Below the maximum threshold
17	23/02/2024	Deputy Auditor-General	Governance	Earnst & Young	Audit service provider	Invitation to a complementary event - <i>What not to ignore in '24 hybrid conference</i>	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
18	10/04/2024	Wellbeing and Diversity Business Partner	People and Culture	Black Dog Institute	Supplier / Service provider	Invitation to a complimentary event - <i>Workplace Mental Health: Current realities, future impact.</i>	Email invitation, event held to share knowledge	Less than \$100	Attended	Professional development
19	11/04/2024	Deputy Auditor-General	AG's unit	KPMG	Audit service provider	Invitation to a complementary webinar - <i>Human side of the energy transition insights</i>	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
20	11/04/2024	Deputy Auditor-General	AG's unit	KPMG	Audit service provider	Invitation to a complementary webinar series - <i>Are you ready for 30 June 2024 Reporting?</i>	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
21	12/04/2024	Deputy Auditor-General	AG's unit	RSM Australia	Supplier / Service provider	Invitation to a complementary webinar on economic update	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
22	19/04/2024	Director	Financial Audit	Blacktown City Council	Auditee	Lunch consisting of light refreshments at client site	Working lunch	Less than \$25	Consumed	Below the maximum threshold
23	23/04/2024	Manager	Governance	InfoGovANZ	Professional association	Invitation to a complementary webinar - <i>Data minimisation: Reducing data privacy risks</i>	Email invitation, event held to share knowledge	Less than \$100	Attended	Professional development
24	3/05/2024	Records Manager	Operations and Engagement	AvePoint	Supplier / Service provider	Free service - scanning of our environment	Offer provided to assist with the records management system	\$10,000	Declined	To maintain impartiality and independence
25	16/05/2024	Director	Governance	The Hill Shire Council	Auditee	Invitation to attend dinner following the conclusion of the ARIC meeting	Email invitation	Less than \$100	Declined	To maintain impartiality and independence
26	16/05/2024	Director	Financial Audit	CA ANZ	Professional association	Haigh's Dark Chocolate Pastilles 440g	Provided to all attendees at the event	Less than \$100	Donated to the Audit Office / shared with team	Gift of gratitude/ Below the maximum threshold
27	16/05/2024	Associate Director	Financial Audit	CA ANZ	Professional association	Haigh's Dark Chocolate Pastilles 440g	Provided to all attendees at the event	Less than \$100	Retained for personal use	Gift of gratitude/ Below the maximum threshold
28	29/05/2024	Senior Auditor	Performance Audit	Belmore Boys High School	Audit stakeholder	Stationery - ring bound notebook, pen and wireless power charger	Provided during the audit fieldwork	Less than \$25	Retained for personal use	Below the maximum threshold
29	29/05/2024	Associate Director	Performance Audit	Belmore Boys High School	Audit stakeholder	Stationery - ring bound notebook, pen and wireless power charger	Provided during the audit fieldwork	Less than \$25	Gifted to a former student	Below the maximum threshold

Notes:

* The business unit of recipients are as at the date of the declaration. If changes occur after the declaration date, these are not back dated.

** As per the Audit Office's Gifts, Benefits and Hospitality Policy, it is not possible in all circumstances to obtain prior approval.

*** As per the Audit Office's Gifts, Benefits and Hospitality Policy, only gifts, benefits and hospitality with a value above \$25 are required to be declared. Any other declarations are at staff discretion.