

Audit Office Gifts, Benefits and Hospitality Register 2022-23

#	Date of offer or receipt of gift	Position of the recipient *	Business unit of the recipient *	Prior approval ** from	Organisation of the giver	Relationship between giver and recipient	Description of gift, benefit or hospitality offered	Description of the context in which the gift, benefit or hospitality was offered and/or received	Estimated accumulated value of gift \$ ***	Accepted or declined	Treatment of the gift/benefit/hospitality	Reasons for the decision
1	4/07/2022	Auditor-General	AG's unit	N/A	Business Sydney	Other	Networking event with lunch	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence
2	15/07/2022	Auditor-General	AG's unit	N/A	Business Sydney	Other	Networking event with breakfast	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence
3	19/07/2022	Senior Communications Manager	Communications and business support	N/A	Australasian Reporting Awards	Other	Canvas tote bag, pen, notepad and set of highlighters	Token gifts given to all attendees of the Australasian Reporting Awards seminar	\$25	Accepted	Retained for personal use	Token gift/ Below maximum the threshold
4	27/07/2022	The Audit Office of New South Wales	The Audit Office of New South Wales	N/A	Intrepid Minds	Professional association	Two guest passes to the 12th National Public Sector Fraud and Corruption Congress	Email invitation	\$6,000	Declined	Declined	To maintain impartiality and independence
5	9/08/2022	Executive Director, Corporate Services	Corporate services branch	N/A	AvePoint	Supplier / Service provider	Invitation for two people to attend a Digital Workplace Summit in the context of CoLab project	Email invitation	\$1,495.00 per ticket	Declined	Declined	To maintain impartiality and independence
6	15/08/2022	A/Executive Manager, CBS	Communications and business support	N/A	AvePoint	Supplier / Service provider	Invitation for two people to attend a Digital Workplace Summit in the context of CoLab project	Email invitation	\$1,495.00 per ticket	Declined	Declined	To maintain impartiality and independence
7	15/08/2022	Records Manager	Communications and business support	N/A	AvePoint	Supplier / Service provider	Invitation for two people to attend a Digital Workplace Summit in the context of CoLab project	Email invitation	\$1,495.00 per ticket	Declined	Declined	To maintain impartiality and independence
8	11/08/2022	Director, Governance	Governance	Executive Director Professional Services	Diligent Asia-Pacific	Other	One hour webinar - ESG and the Role of Internal Audit - hosted by Diligent Asia Pacific	Invitation forwarded by one of the members of Governance Institute of Australia	Less than \$100	Accepted	Attended	Professional development
9	23/08/2022	Chief Financial Officer	Finance	N/A	Eftsure	Supplier / Service provider	Invitation to networking event with drinks and canapes for Eftsure customers - new product launch	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence
10	16/09/2022	Director, Governance	Governance	Executive Director Professional Services	NRCoP & ANZSOG	Professional association	Lunchtime seminar - Solving problems, supporting staff! Building an effective complaints handling culture	Promoted by the NSW Information Commissioner on LinkedIn	Less than \$100	Accepted	Attended	Professional development
11	20/09/2022	Senior Communications Manager	Communications and business support	N/A	Peter de Waal	Presenter at a diversity event	Two free tickets to a screening of Chequerboard documentary at Palace Verona Cinema on 31 October and two glasses of wine	Email invitation	\$25	Accepted	Attended	Below the maximum threshold
12	15/09/2022	Systems Analyst	Finance	N/A	Thomson Reuters	Supplier / Service provider	Tote bag with branded gifts (portable charger, wireless mouse, notebook, pen and water bottle)	Token gifts given to all Elite forum attendees	Less than \$100	Accepted	Donated to the Audit Office	Provided to all attendees at conclusion of the forum
13	15/09/2022	Finance Business Partner	Finance	N/A	Thomson Reuters	Supplier / Service provider	Tote bag with branded gifts (portable charger, wireless mouse, notebook, pen and water bottle)	Token gifts given to all Elite forum attendees	Less than \$100	Declined	Declined	To maintain impartiality and independence
14	15/09/2022	Financial Controller	Finance	N/A	Thomson Reuters	Supplier / Service provider	Tote bag with branded gifts (portable charger, wireless mouse, notebook, pen and water bottle)	Token gifts given to all Elite forum attendees	Less than \$100	Declined	Declined	To maintain impartiality and independence
15	2/11/2022	Deputy Auditor-General	AG's unit	N/A	Sydney Financial Forum	Professional association	Invitation to forum attendance and light lunch	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence
16	4/11/2022	Deputy Auditor-General	AG's unit	N/A	Progress Chef	Professional association	Invitation to Chef DevOps Roadshow '22 and lunch	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
17	24/10/2022	Director, Governance	Governance	N/A	Protectt	Supplier / Service provider	2022 customer cocktail lunch	Email invitation to all Protectt customers	Less than \$100	Declined	Declined	To maintain impartiality and independence

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18	27/10/2022	Audit Leader	Performance audit branch	Assistant Auditor-General	ARTD Consultants	Former employer Supplier / Service provider	Alumni drinks' with former colleagues	Email invitation	Less than \$100	Accepted	Attended	Networking opportunity
19	2/11/2022	Communications Manager	Communications and business support	Executive Director Corporate Services	Microsoft	Supplier / Service provider	Professional development session "The new world of work"	Generic invitation to mailing list recipients	Less than \$100	Accepted	Attended	Professional development
20	8/11/2022	Business Manager Finance Business Partner Financial Controller	Finance	N/A	Thomson Reuters	Supplier / Service provider	Snacks (biscuits, chocolate, soft drinks, lollies)	Gift to apologise for rescheduling a training session at a very short notice	\$56	Accepted	Donated to the Audit Office/ Shared with team	Below the maximum threshold
21	10/11/2022	Communications Manager	Communications and business support	N/A	Just After Midnight	Supplier / Service provider	Invitation from Just After Midnight for food and after work drinks at Bar Ombre Sydney	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
22	10/11/2022	Senior Communications Manager	Communications and business support	N/A	Former employer Office of Lord Mayor Clover Moore	Auditee	Invitation to Lord Mayor's Christmas Reception	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
23	14/11/2022	Chief Financial Officer	Finance	N/A	Grant Thornton	Audit service provider	Wine tasting held at Grant Thornton	Email invitation to their "C-suite" clients in the Salesforce CRM	Less than \$100	Declined	Declined	To maintain impartiality and independence
24	10/11/2022	Executive Director, Corporate Services	Corporate services branch	N/A	Just After Midnight	Supplier / Service provider	Invitation from Just After Midnight for food and after work drinks at Bar Ombre Sydney	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
25	30/11/2022	Deputy Auditor-General	AG's unit	N/A	Grant Thornton	Audit service provider	Wine tasting held at Grant Thornton	Email invitation to their "C-suite" clients in the Salesforce CRM	Less than \$100	Declined	Declined	To maintain impartiality and independence
26	10/11/2022	Senior Communications Manager	Communications and business support	N/A	Just After Midnight	Supplier / Service provider	Invitation from Just After Midnight for food and after work drinks at Bar Ombre Sydney	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
27	17/11/2022	Director, Governance	Governance	N/A	RSM	Supplier / Service provider	Invitation to a Christmas breakfast	Email invitation	\$25	Declined	Declined	To maintain impartiality and independence
28	9/12/2022	Delivery Manager	Technology services	N/A	Lenovo Australia	Supplier / Service provider	Product sample - decorative lights	Christmas gift	\$19	Declined	Declined	To maintain impartiality and independence
29	25/01/2023	Senior Communications Manager Learning and Development Manager	Operations and engagement Human Resources	N/A	LinkedIn	Supplier / Service provider	Invitation to free conference, Forward Event on 7 February 2023	Email invitation	Over \$100	Declined	Declined	To maintain impartiality and independence
30	2/02/2023	Senior Communications Manager	Operations and engagement	N/A	State Member for Sydney	Other	Invitation to drinks and canapes event to raise funds for the State Member's for Sydney political campaign	Email invitation. The recipient volunteered on the State Member's for Sydney campaigns in the past.	Over \$100	Declined	Declined	To maintain impartiality and independence
31	15/02/2023	Director	Corporate, experience and strategy	N/A	AvePoint	Supplier / Service provider	Invitation to breakfast at a governance presentation 'Ensuring operational governance success for M365'	Email invitation	\$25	Accepted	Attended	Professional development
32	6/03/2023	Executive Director, Finance and Performance	Finance and performance	N/A	CMD Solutions	Supplier / Service provider	Invitation to CMD AWS Summit with drinks and food at the Pumhouse Bar & Restaurant at Darling Harbour on 4 April 2023	Email invitation to all CMD corporate customers	Less than \$100	Declined	Declined	To maintain impartiality and independence
33	14/03/2023	Deputy Auditor-General	Auditor-General's unit	N/A	Ernst&Young	Audit service provider	Invitation to Pre-Budget Briefing 2023-24	Email invitation	Less than \$100	Declined	Declined	To maintain impartiality and independence

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34	8/05/2023	Executive Director, Corporate, Experience and Strategy	Corporate, experience and strategy	Deputy Auditor-General	Denwent Search	Supplier / Service provider	Invitation to free event, Pathway to the Boardroom Leadership Forum, including light refreshments	Email invitation	Less than \$100	Accepted	Attended	Professional development
35	17/05/2023	Director	Financial audit branch	N/A	PwC	Audit service provider	Invitation to free event Economic Outlook Forum held on 24 May 2023, hosted by PwC's chief economist with guest speakers including head of Facebook Australia	Invitation offered to all PwC clients	Over \$100	Declined	Declined	To maintain impartiality and independence
36	17/05/2023	Audit Leader	Financial audit branch	N/A	PwC	Audit service provider	Invitation to free event Economic Outlook Forum held on 24 May 2023, hosted by PwC's chief economist with guest speakers including head of Facebook Australia	Invitation offered to all PwC clients	Over \$100	Declined	Declined	To maintain impartiality and independence
37	26/05/2023	Assistant Auditor-General	Systems Assurance, Cyber & Data	N/A	Gartner	Supplier / Service provider	Offer of a complimentary ticket to attend Gartner Data & Analytics Summit. Group rate discount 1 free for 3 paid registrations.	Public offering and part of the fee schedule for the summit	\$3,000 plus GST	Declined	Declined	To maintain impartiality and independence
38	15/06/2023	Director Audit leader Senior Auditor Auditor 4 Auditor 2	Financial audit branch	N/A	Greater Sydney Parklands Trust	Auditee	Offer of working lunch	Offered during a visit of various parkland sites	approx \$25 each	Accepted	Consumed with cost reimbursed by the Audit Office	Light meal provided while on site, with a decision to reimburse
39	19/06/2023	Director Audit leader Senior Auditor Auditor 4 Auditor 2	Financial audit branch	N/A	Parramatta Park Trust	Auditee	Offer of free annual parking	Email offer	Over \$100	Declined	Declined	To maintain impartiality and independence

Notes:

* The role title and business unit of recipients are as at the date of the declaration. If changes in role title and business unit occur after the declaration date, these are not back dated.

** As per the Audit Office's Gifts, Benefits and Hospitality Policy, it is not possible in all circumstances to obtain prior approval.

*** As per the Audit Office's Gifts, Benefits and Hospitality Policy, only gifts, benefits and hospitality with a value above \$25 are required to be declared. Any other declarations are at staff discretion.